

## Survey & Certification Update

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**Department of Health & Senior Services**  
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Chris Christie  
Governor  
Kim Guadagno  
Lt. Governor



Poonam Alaigh, MD, MSHCPM, FACP  
Commissioner



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- **Office of Assessment and Survey**
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- **- Pam Gendlek, Program Manager of Assisted Living. (609) 633 8980**
- **- Carolyn Hall, Program Manager of Complaints. (609) 633 8991**



## National Data – Nursing Homes Average Number of Deficiencies

- 2008 National 6.9 New Jersey 4.2
- 2009 6.8 5.5
- 2010 6.3 5.1
- 2011 5.5 4.1
- Above average number of IJs 2010

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## Current Issues – Levels of Oversight

- State Survey Agency:
  - Survey for State licensing purposes
  - Under contract with CMS, survey for compliance with Federal regulations
  - Processes dictated by State Operations Manual
  - Composition of teams
  - Length of survey
  - Review of findings

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## CMS – Survey and Certification

- Dr. Alice Bonner – Director for Division of Nursing Homes
- Region 2 chiefs
- State Operations Manual
- [www.cms.gov/surveycertification/general](http://www.cms.gov/surveycertification/general) info
- FOSS surveys
- Comparative surveys

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## GAO Reports

- Nursing Homes: Federal Monitoring Surveys Demonstrate Continued Understatement of Serious Care Problems and CMS Oversight Weaknesses
  - [GAO-08-517](http://www.gao.gov/reports/2008/517), May 9, 2008  
Report issued May 15, 2008
- Missed deficiencies
- Understatement of deficiencies
- [www.gao.gov](http://www.gao.gov)

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## GAO Reports (Continued)

- **2009 – Factors related to non-citation or understatement of deficiencies**
  - Workforce shortages
  - Surveyors with less than 2 years' experience
  - Lack of ongoing training
  - Practice of non citation

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## CMS Responses

- FOSS
- Comparative surveys
- State Performance Standards
- Complaint Investigation
- Improvement in surveyor guidance and training
- Supervisor training
- New QIS methodology

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## CMS - Updates

- Special Focus Facilities
  - CMS generates list of 15 potential candidates
  - Maintain three facilities in New Jersey
  - Expectation is that the SFF will graduate within approximately 2 years
  - Increased survey frequency
  - Increased involvement of CMS Regional staff with the SFFs and candidates

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## Civil Money Penalties - Projects

- Pressure Ulcer Collaborative
- Wound Care Certification
- Culture Change Initiative
- Invitation for proposals

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## Assisted Living Survey

- Change in survey frequency
- Change in standard survey process
- Observation
- Interview
- Focused Record Review
- Sample employee record review
- Complaint investigations

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## Regulations Update

- **Patient Safety**
- **Safe Patient handling**
- **Violence**
- **Universal Transfer Form**

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## Time for Discussion

- **Invitation – Please feel free to call us!**
- **Thank You!**

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