

Advanced Standing

An HCANJ Foundation/DHSS Initiative

2011 HCANJ Annual Convention

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History

- **Assisted Living (AL) – state-only program**
- **First set of regulations promulgated late 1993**
- **NJ AL regulations remain a model for other states looking to revamp their regulations**
- **Successful history of AL profession and DHSS collaboration on regulations, training and education**
- **Facility survey performed yearly**

Current Status

- **Timing of AL surveys has increased to 2 years or more**
- **Concern that regulation compliance may suffer with increased time lag**
- **A strong interest in quality by both DHSS and AL profession**
- **No appropriate accreditation body – AL is different in every state**

Risks to Status Quo Government Perspective

- **Degradation of quality care**
- **Increased number of complaints**
- **Public perception of lax government oversight**
- **Limited data on survey outcomes, quality**

Risks to Status Quo Facility Perspective

- **Potential for decreased regulatory compliance**
- **Reduced oversight**
- **Failure to correct small issues in a timely manner leading to larger issues**
- **Public perception of reduced oversight**

Solution – Advanced Standing (AS)

- **Two Components**
 - **Compliance Visit**
 - **Quality Initiative**

Compliance Visit

- **Attestation of compliance with applicable federal, state and local regulations**
- **Consultant hired by HCANJ Foundation will conduct an on-site visit to ascertain compliance with NJ AL regulations**

Quality Initiative

- **AS Peer Review Panel (PRP)**
 - **Composition of panel**
 - **Role of PRP**

NCAL Quality Measures

- NCAL conducted its second AL Performance Measures Survey in 2011
- Tier I Measures - items that improve the quality of life for residents and staff
- Currently developing Tier II - items that contribute to the resident's quality of care within the assisted living community
- 2012 - NCAL to add questions related to workforce issues

10 Tier I Measures

- **Resident/family satisfaction**
- **Employee satisfaction**
- **Census/occupancy rate**
- **Resident councils**
- **Family councils**
- **Strategic plan to support mission/vision**
- **Safety program**
- **Nurse availability**
- **State criminal background checks**
- **Employee vacancy, retention and turnover**

Key Findings

- **89% of Assisted Living Communities measured resident and family satisfaction**
- **79% of Assisted Living Communities measured employee satisfaction**
- **88.5% of Assisted Living Communities had a resident council that meets at least quarterly**
- **94% of Assisted Living Communities had a mission statement**
- **94% of Assisted Living Communities reviewed incident reports for residents**

Key Findings con't

- **94% of Assisted Living Communities reviewed incident reports for staff**
- **85% of Assisted Living Communities had a safety committee**
- **97% of Assisted Living Communities had a licensed nurse available to the staff and residents 24 hours a day**
- **99% of Assisted Living Communities conducted criminal background checks on all new employees**

AS Determination

- Satisfactory compliance with all applicable federal, state and local regulations, including but not limited to NJAC 8:39
- Quality Measures meet benchmarks established by PRP
- Must meet BOTH requirements before submission to DHSS for final AS determination

Benefits – AL Communities

- **Outside risk assessment from 3rd party**
- **Corrective action performed timely**
- **Enhanced status – doing more than “just” a survey inspection**
- **Continual focus on quality**
- **Public benchmark**

Benefits - DHSS

- **Continual flow of quality and compliance data**
- **Analysis of quality trending**
- **Analysis of compliance trending**
- **Ability to focus on non-participating facilities**
- **ALL AL facilities under increased review without increasing resources**

Safeguards

- **Validation of Compliance visits**
 - Performed by DHSS staff on a random sample of AS facilities
- **Complaint Investigations as warranted**
 - May lead to suspension of AS
- **DHSS maintains complete authority over AS Determinations**

How to Participate

- **Open to all AL licensed facilities**
- **Indicate interest to participate in AS program by March 1**
 - **Sign agreement, pay survey fee**
- **Facility name submitted to DHSS**
- **Schedule Consultant Compliance Visit**
- **Submit Quality data**
- **If both compliance visit and quality data meet established benchmarks, facility name is submitted to DHSS for final AS determination**

Questions?

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