

RoP Survey:

The Good, The Bad, The Ugly

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Survey Summary

- Entered 2/22/18, planned to exit 3/2/18
exited 3/1/18
- Each surveyor had their own laptop but
requested 4 more
- Arrived around 9:15 each day and left by
around 1:30 each day



Day 1

- 4 RN Surveyors
- Toured kitchen for approximately 15 minutes
- Checked dates and rotation of food and supplies
- Checked for Cleanliness
- Requested Temp Logs
- Asked dish washers to demonstrate checking of chemical levels in 3-compartment sink



Day 1

- Asked for 3 plates to compare consistencies
- Observed activities
- Asked for the CNA schedule
- Asked for a list of newest admissions
- Observed isolation donning of PPE

Day 2

- 4 surveyors; 3 RNs and 1 Life Safety
- Life Safety
- Requested Emergency management plan
- Requested policy for using volunteers in an emergency
- Requested smoking policy for resident and staff
- Plans to review documents this day



Day 2

- Advised they would tour on Monday
- Asked for evidence of local OEM/FD/PD invitation and participation in a drill; and discussed the need to invite County and State at least 10 days prior to a drill
- Requested a list of PAC patients on Med A who were discharged in the last 6 months. They selected a sample and requested copies of NOMNCs and, if applicable , ABNs for the sampled patients



Day 2

- Med Pass observation; observed a total of 4 nurses, each of whom was observed for 11+ meds
- Treatment observation: observed one with focus on comfort and wanted to see the wound
- Surveyed the kitchen during lunch service –had chefs temp check foods
- RN requested to see emergency water and food supply and life safety asked again on tour
- Surveyor questioned a nurse about a resident who has an order for continuous Cipro and the reason why



Day 3

- 5 surveyors; 4 RNs and 1 Life Safety
- Life Safety
- During tour asked to see emergency water-
calculated the number of gallons to assure a 3-
day supply for all residents
- Emergency plan had a procedure for loss of
sewer availability. Surveyor recommended that
we have a contract with a vendor who can pump
us out, but is also based far enough away that
they would not be impacted by the same disaster



Day 3

- During tour of therapy gym observed a drying rack being stored inside a working oven (used for OT). This finding will be cited as a K Tag; however, no information provided on Tag number or scope/severity
- Observed residents with catheters
- Observed the resident who was observed the previous week for wound treatment
- Asked about turning and positioning

Day 3

- Conducted Resident Council, everything went well- many positive things to say. Only concern from residents was number of wheelchair tie downs on the activity bus

Day 4

- 4 RN Surveyors
- One surveyor observed going in and out of rooms on one unit. No interaction with the nurses or CNAS
- Observed trays for consistency between diet ticket and what was being served
- Requested Incident Reports for 2 residents who had falls, unlike the past when they asked for IRs , these incident reports were for residents in the sample

Day 5

- 4 RN Surveyors
- Looked at MDSs for coding accuracy (questioned why a catheter was not coded on the MDS but turned out they were looking at the wrong MDS)
- One RN gave a mini-exit (she was not going to be present on the exit date): no med errors, one nurse was observed to have a closed bottle of eye drops in her pocket.
- Asked to provide a list of employees hired in the last 4 months and advisories for review



Day 6

- 3 RN Surveyors
- QAPI
- Interviewed QAPI RN and Administrator
- Requested to see the makeup of the QAPI Committee and how we get staff involved
- Asked about ABT stewardship
- Asked about PIPs; how we identify problems, set goals, etc.



Day 6

- Reviewed employee HR and health files
- Reviewed advisory standards
- Exit
- Sample 23 residents + 3 closed records
- Interviewed every resident, and as many families as possible
- Interviewed staff
- No F tags cited
- Strong comment regarding infection control regarding eye drops



Exit

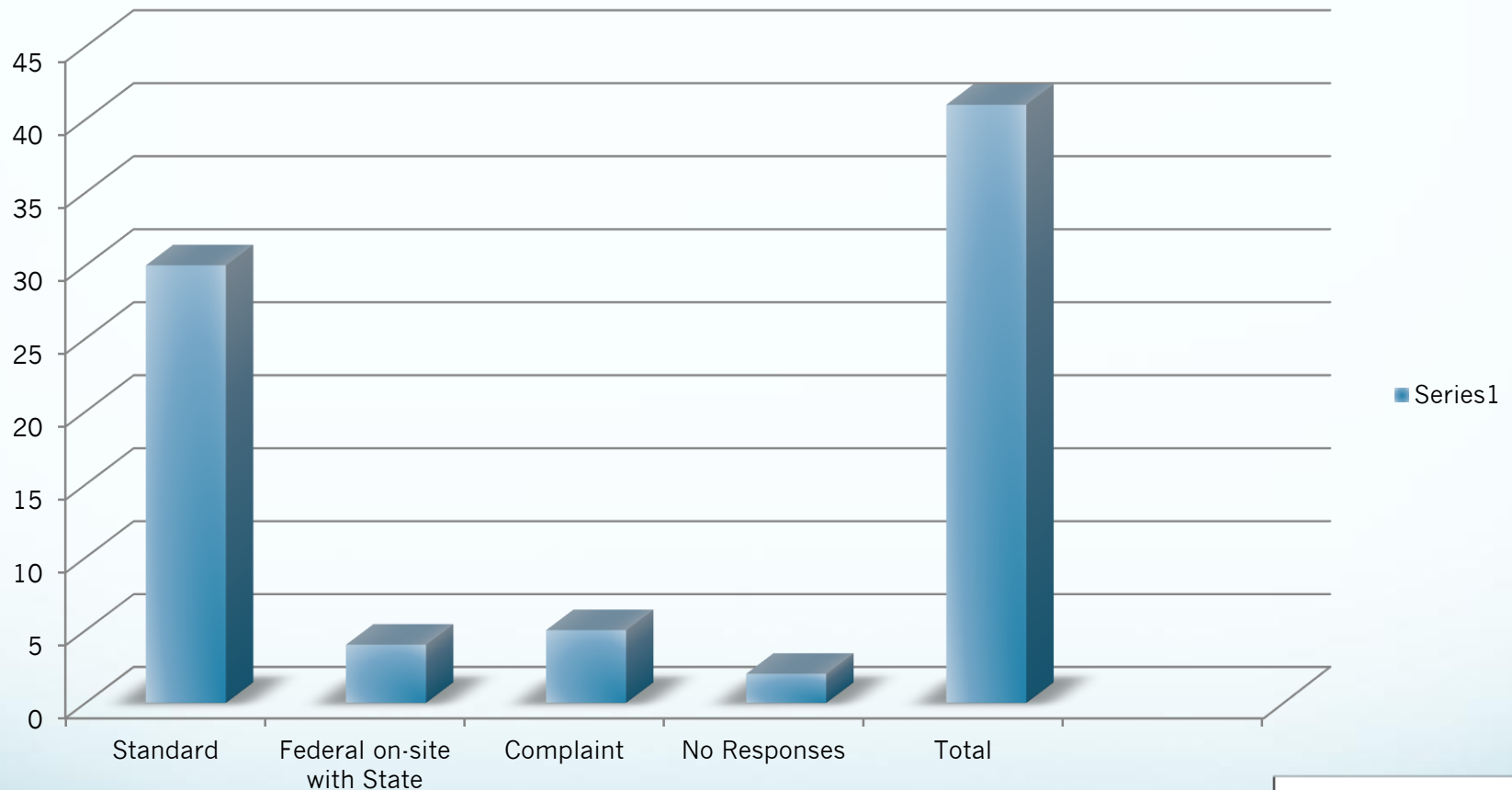
- One K Tag cited for the observation in the oven in the therapy gym

HCANJ Survey Results

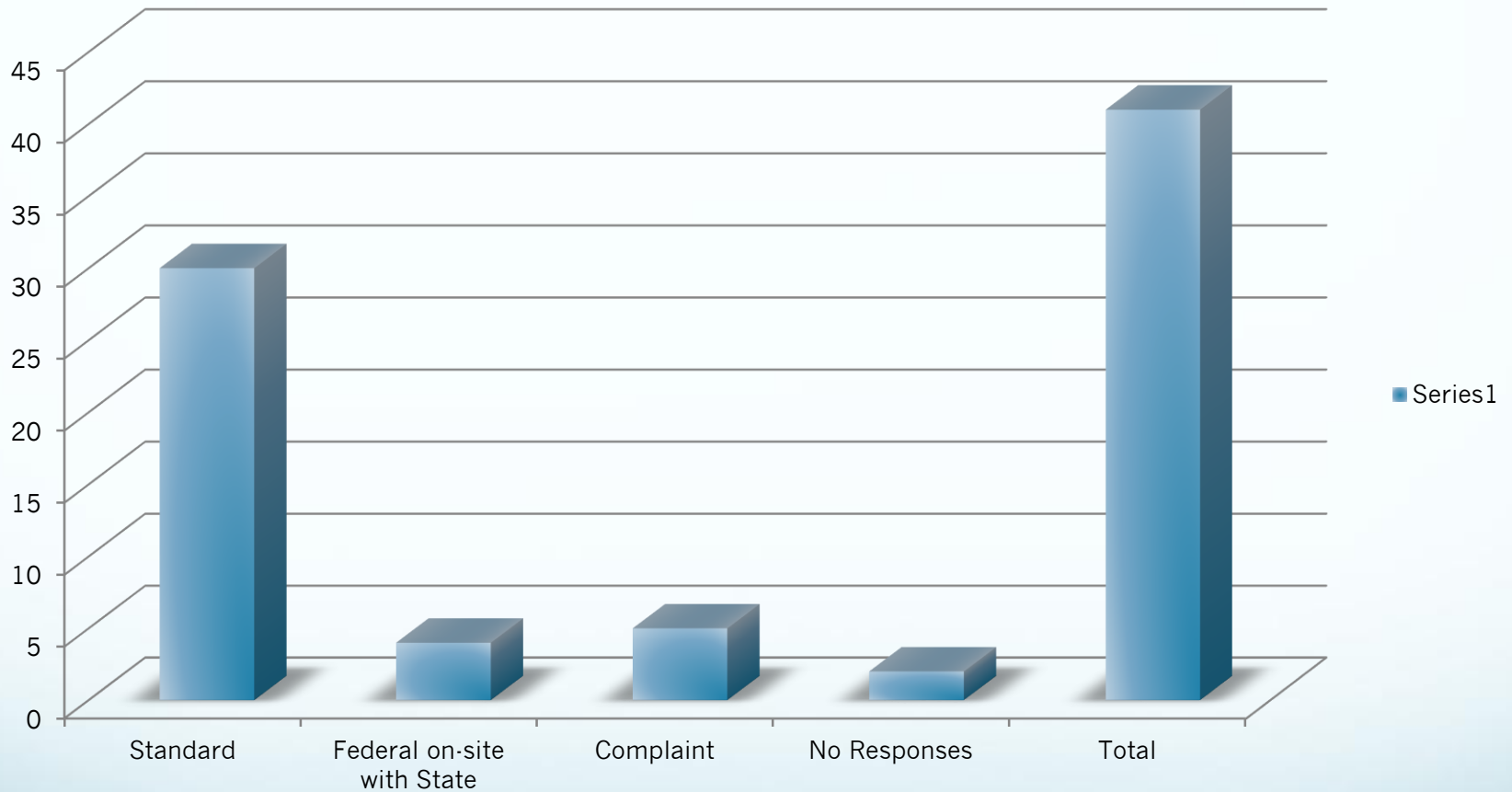
- The following slides are examples of survey questions and answers



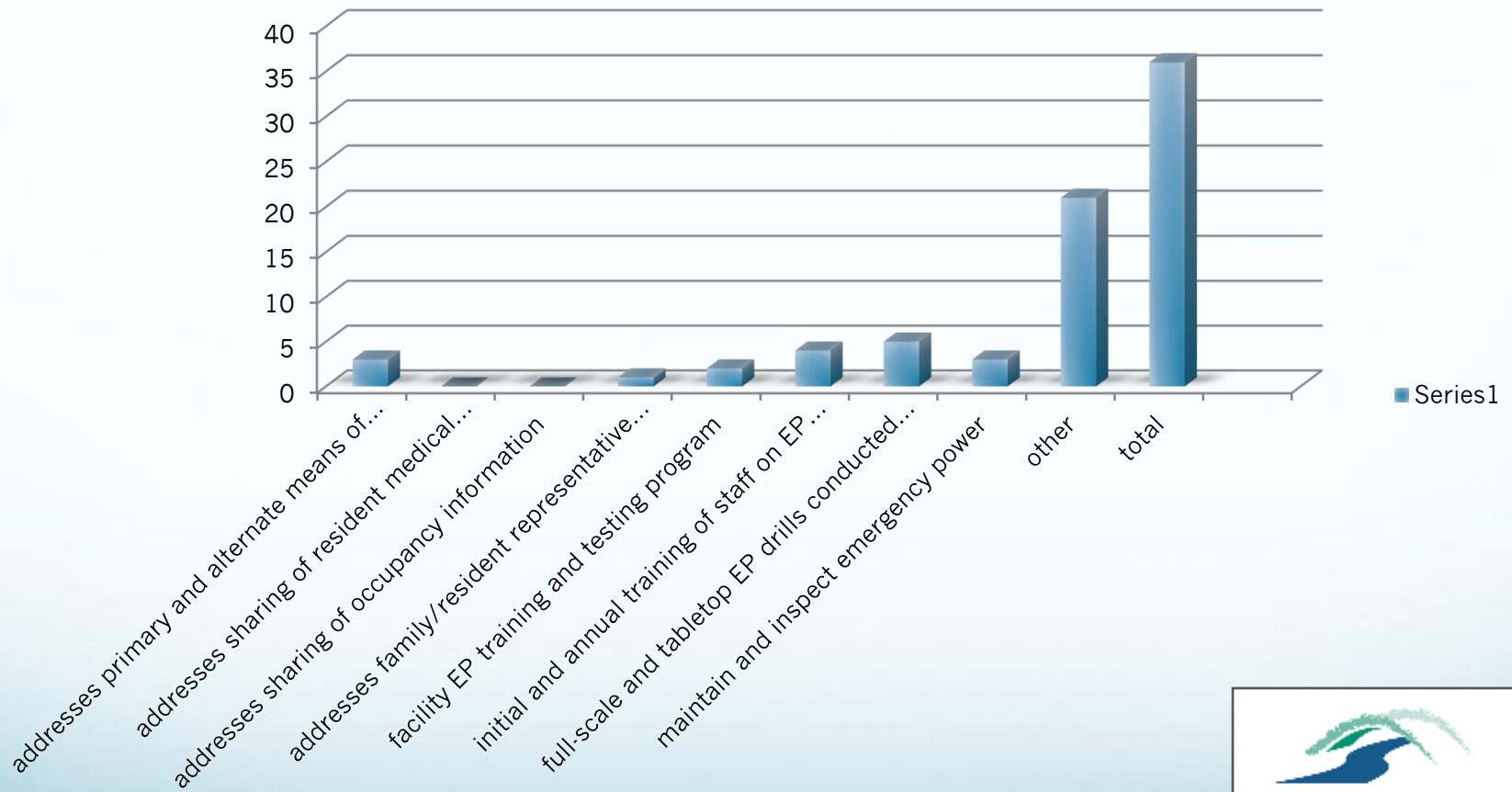
How many licensed beds are in your facility?



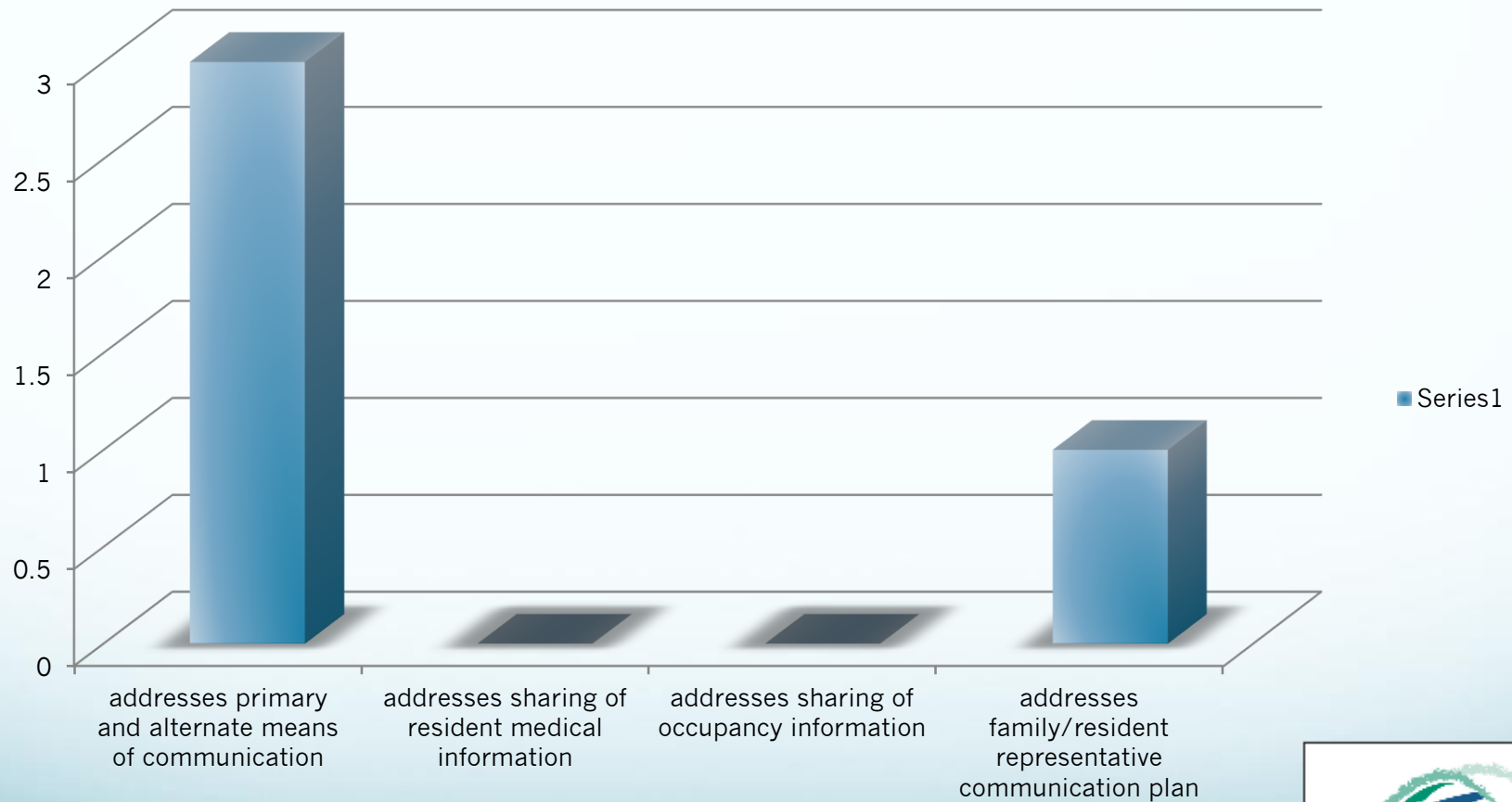
Type of Survey



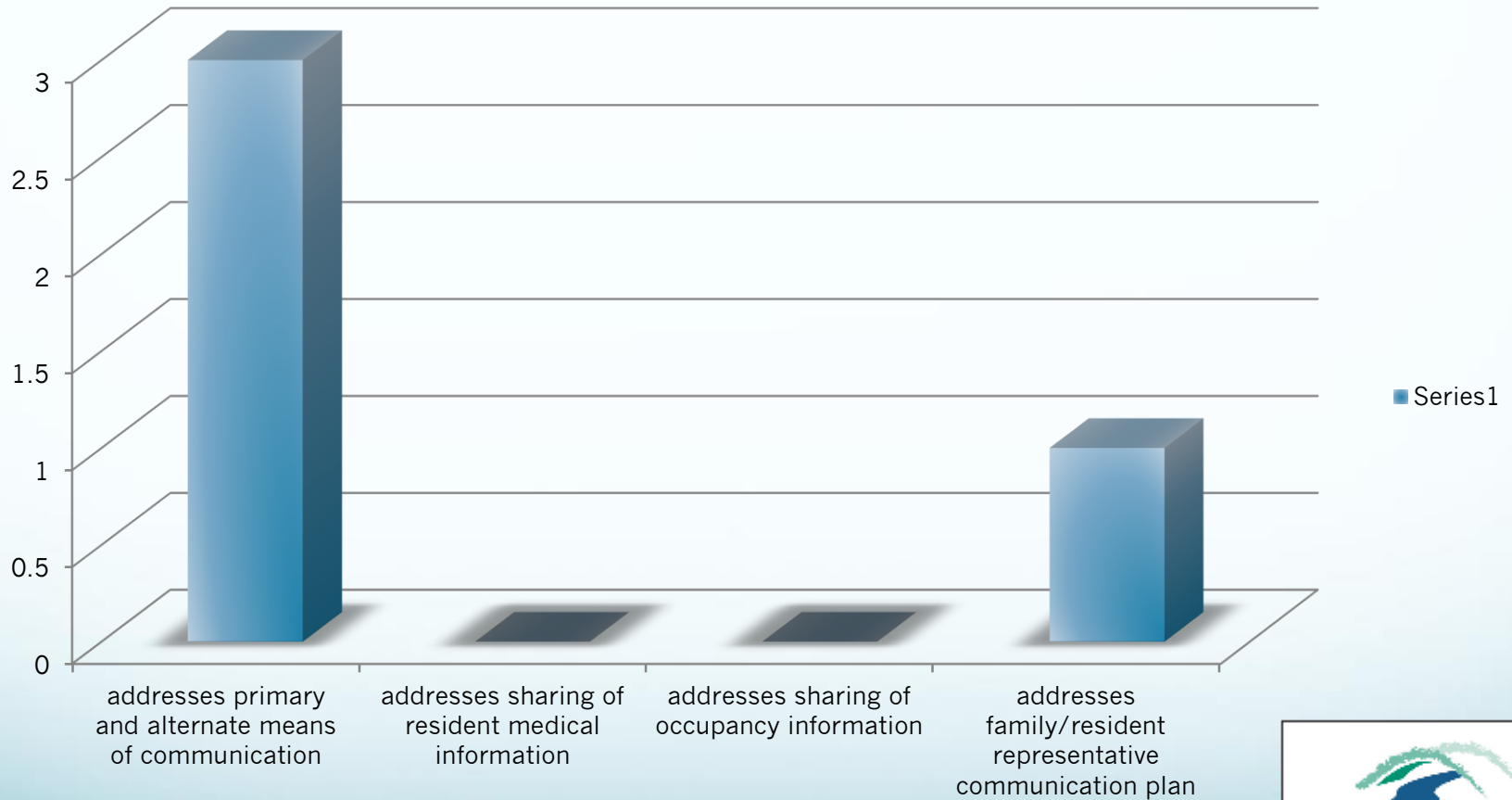
How many total surveyors were on your survey team?



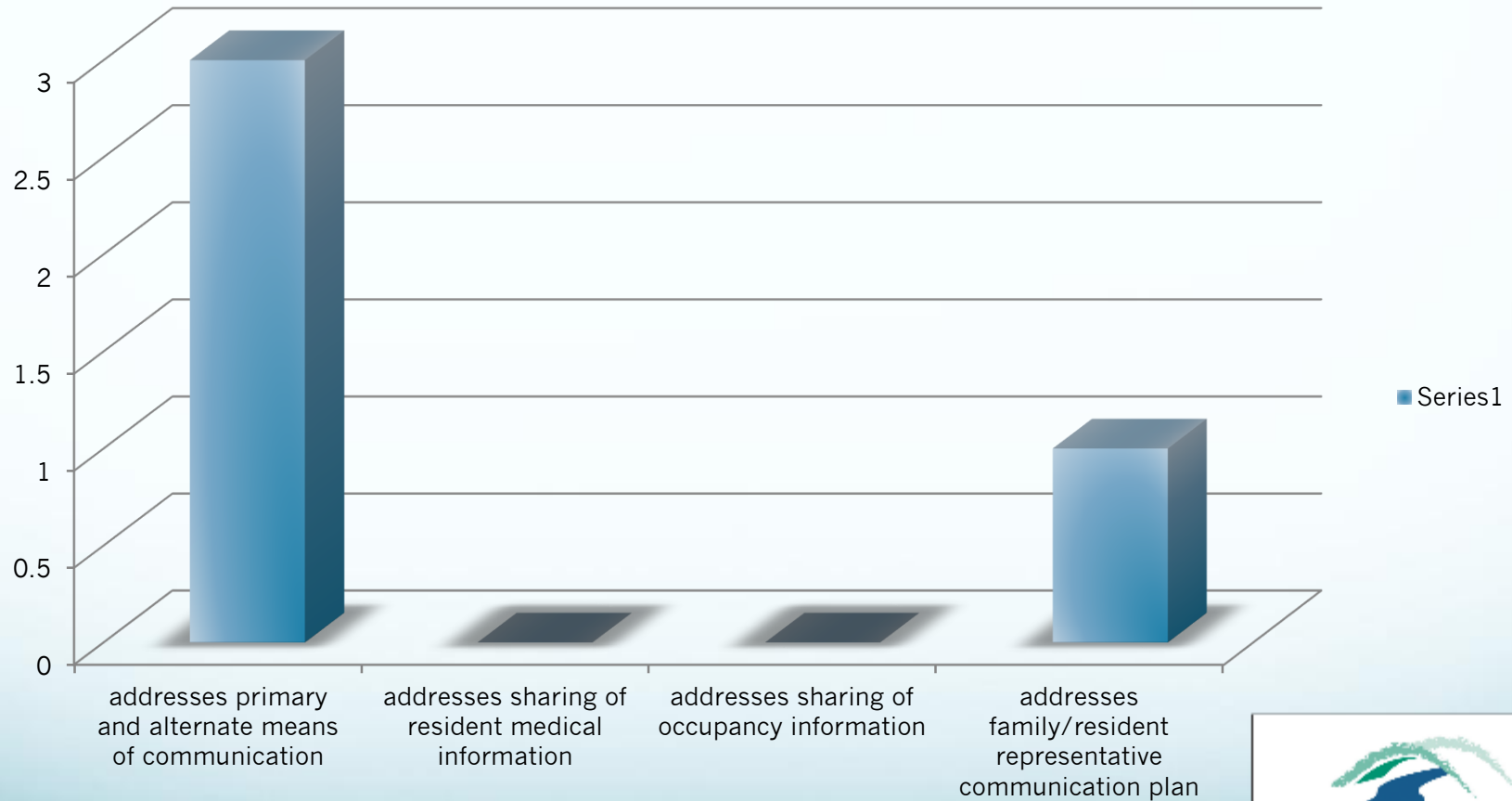
If the surveyor team included surveyors “in training” how many were there?



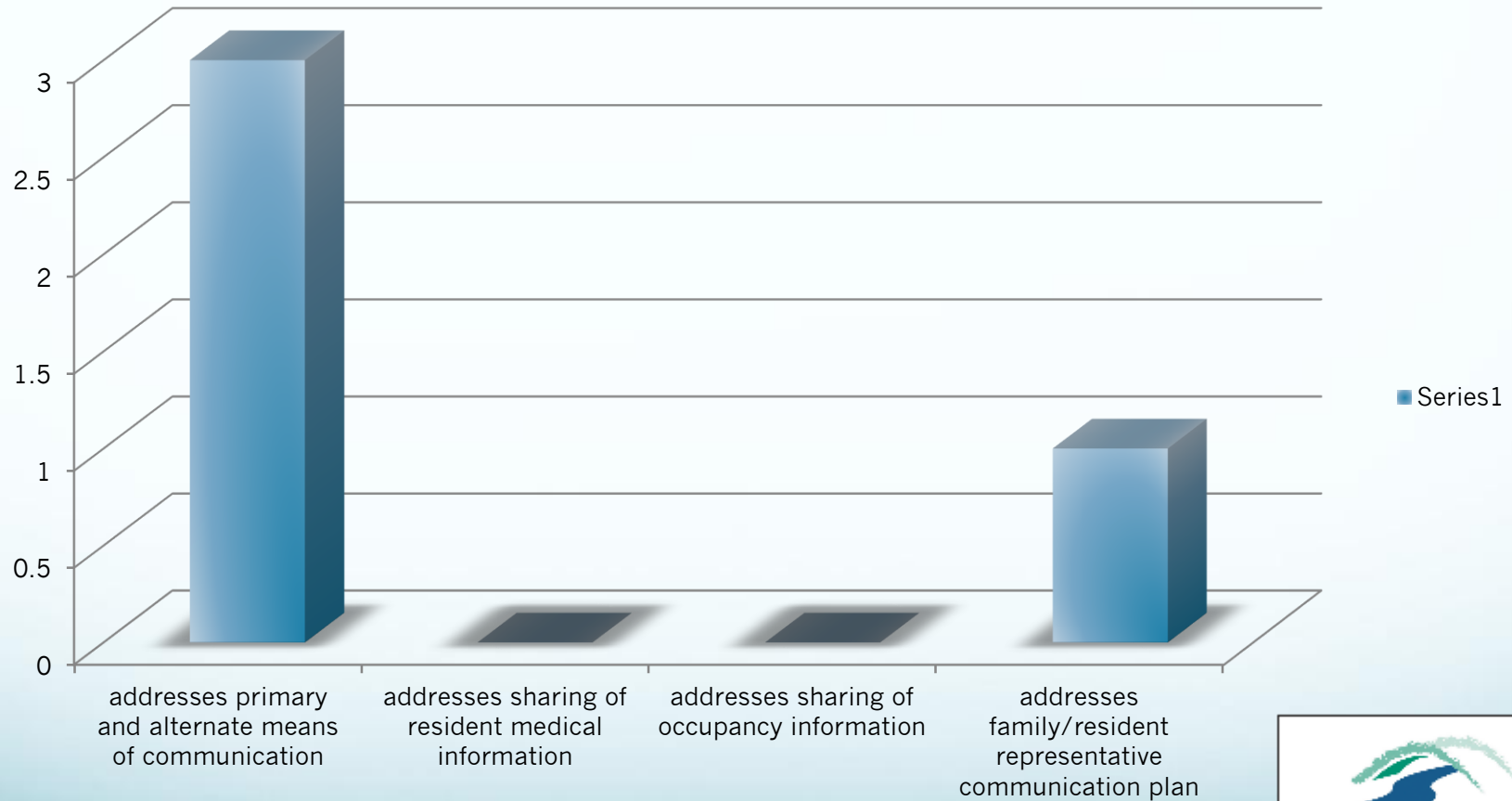
If the survey team included federal surveyors, how many were there?



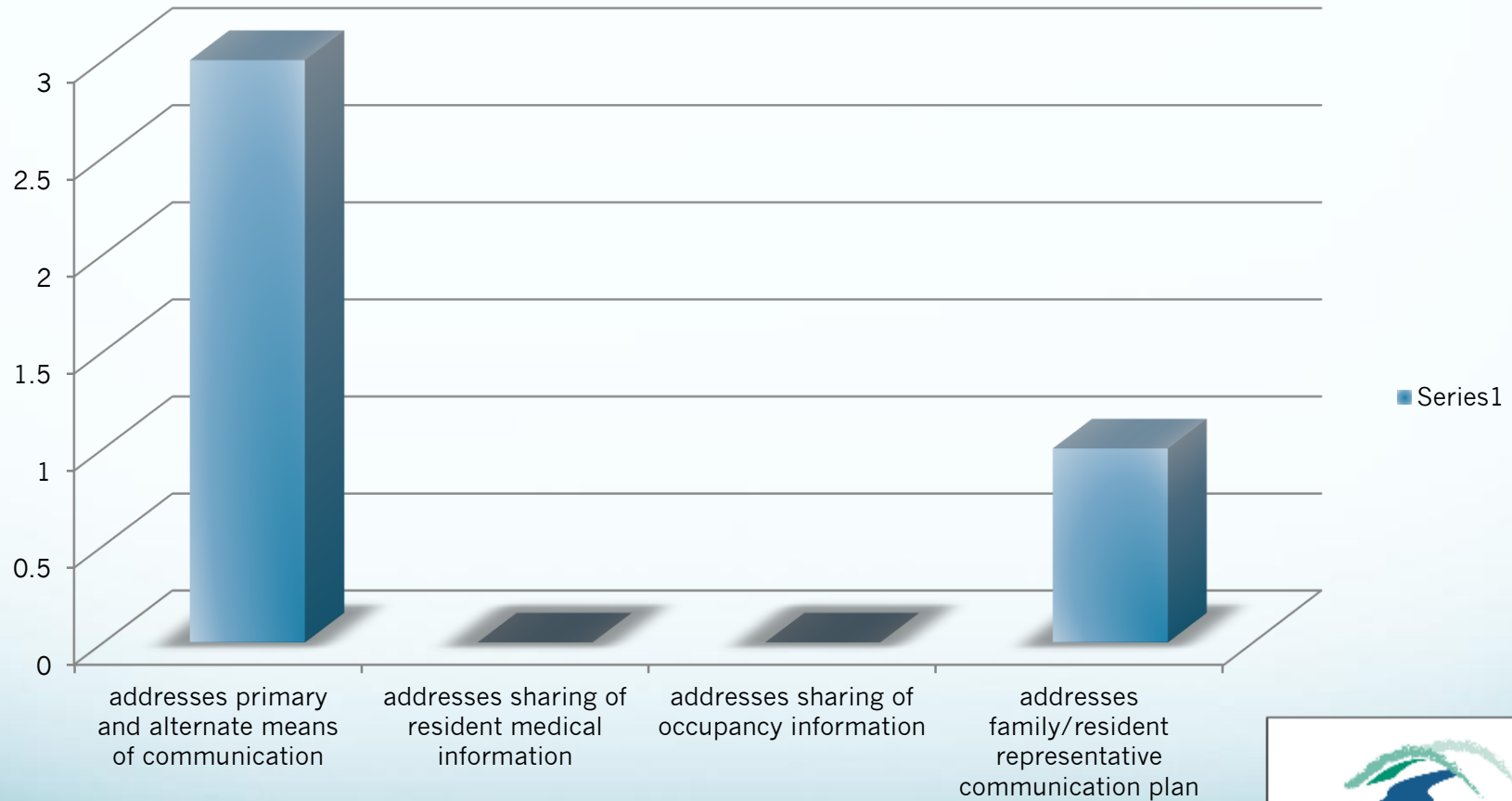
Did the surveyors exhibit appropriate knowledge of pertinent laws, regulations, and guidelines ?



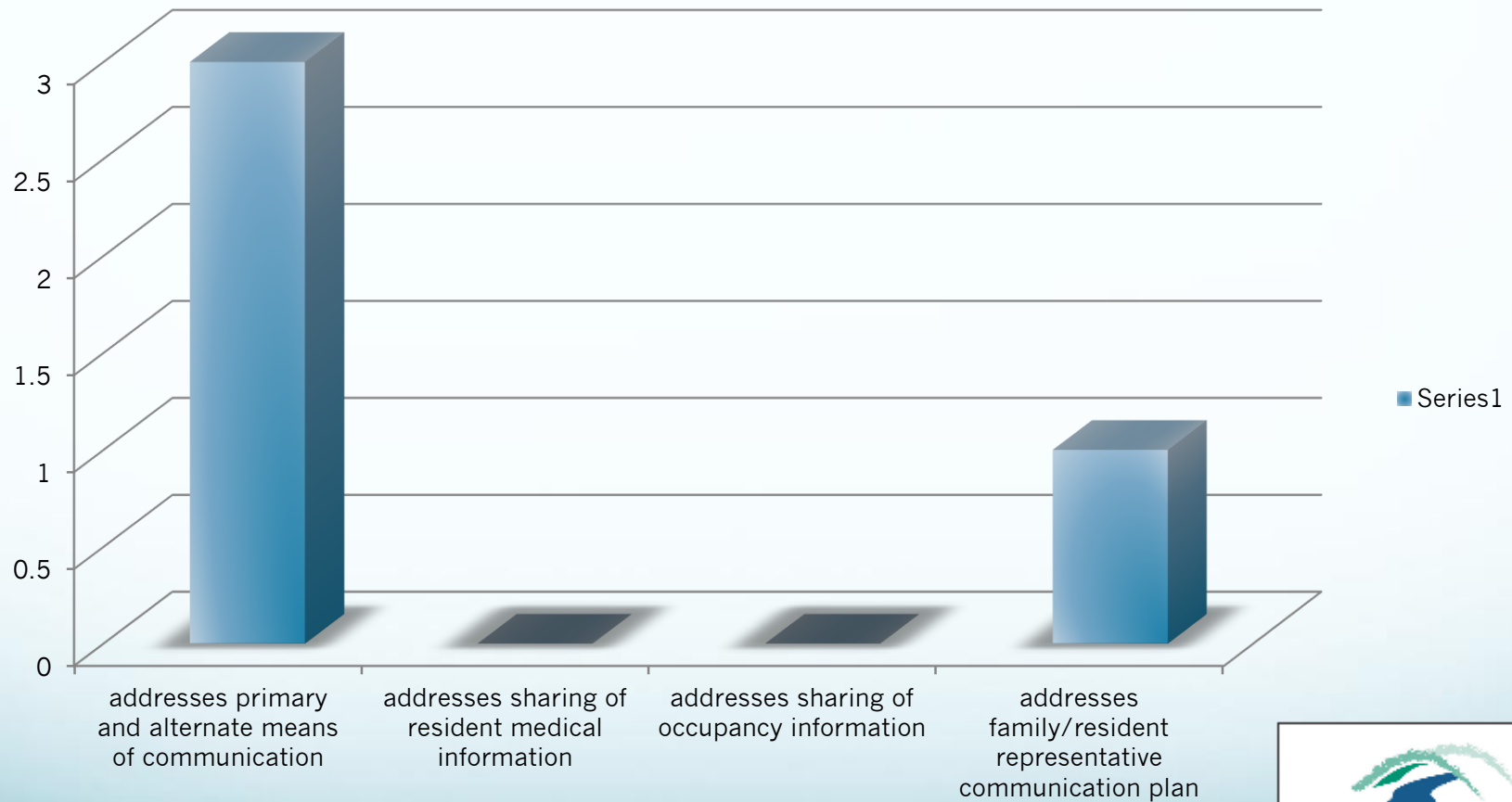
During the exit conference, was the facility provided the opportunity to discuss and supply additional information they believe was pertinent to the identified DOH findings?



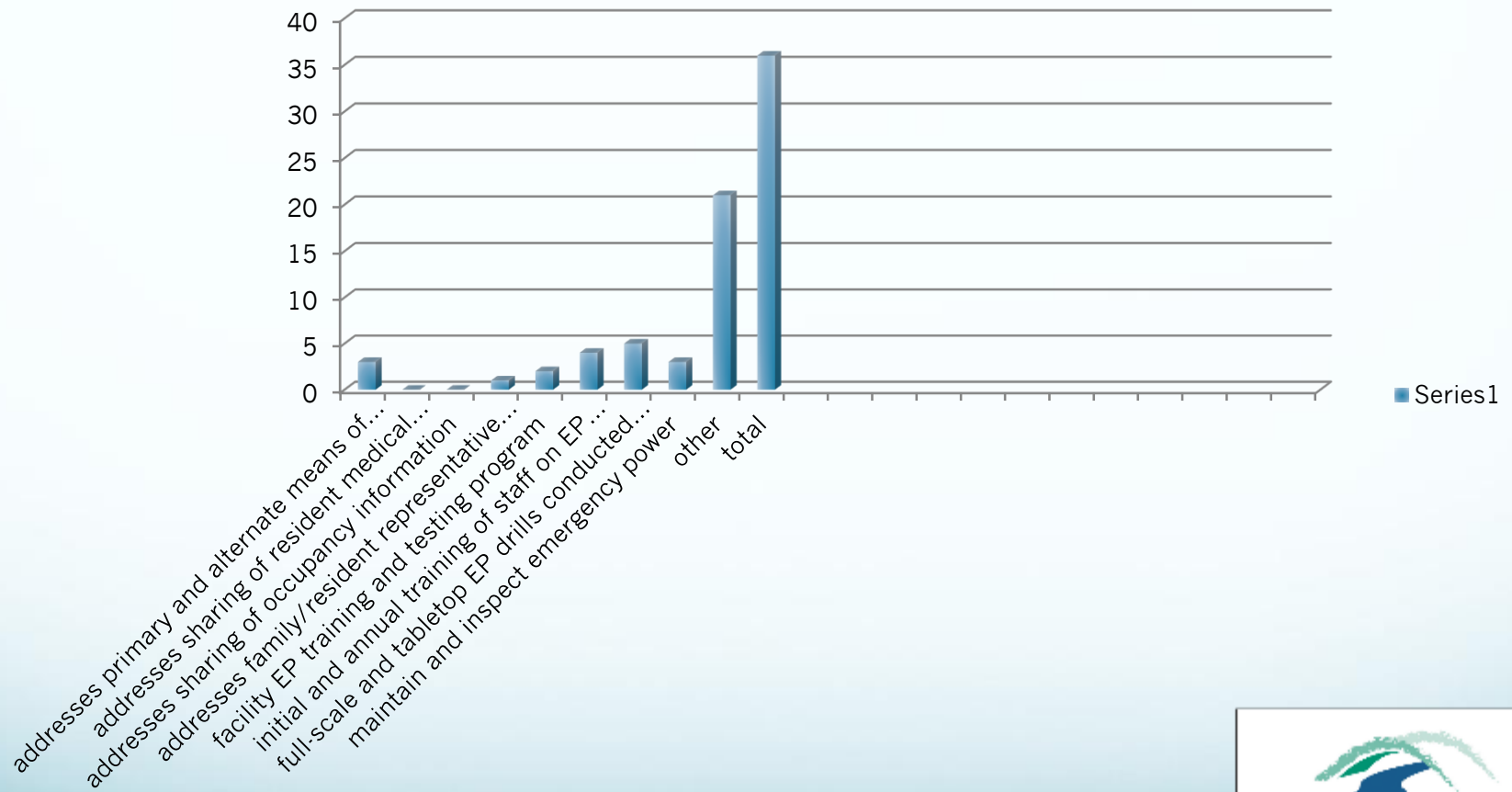
Do you feel results shared by the team during the exit conference were understandable and providing information so that you are aware of survey concerns and areas where deficiencies may be issued?



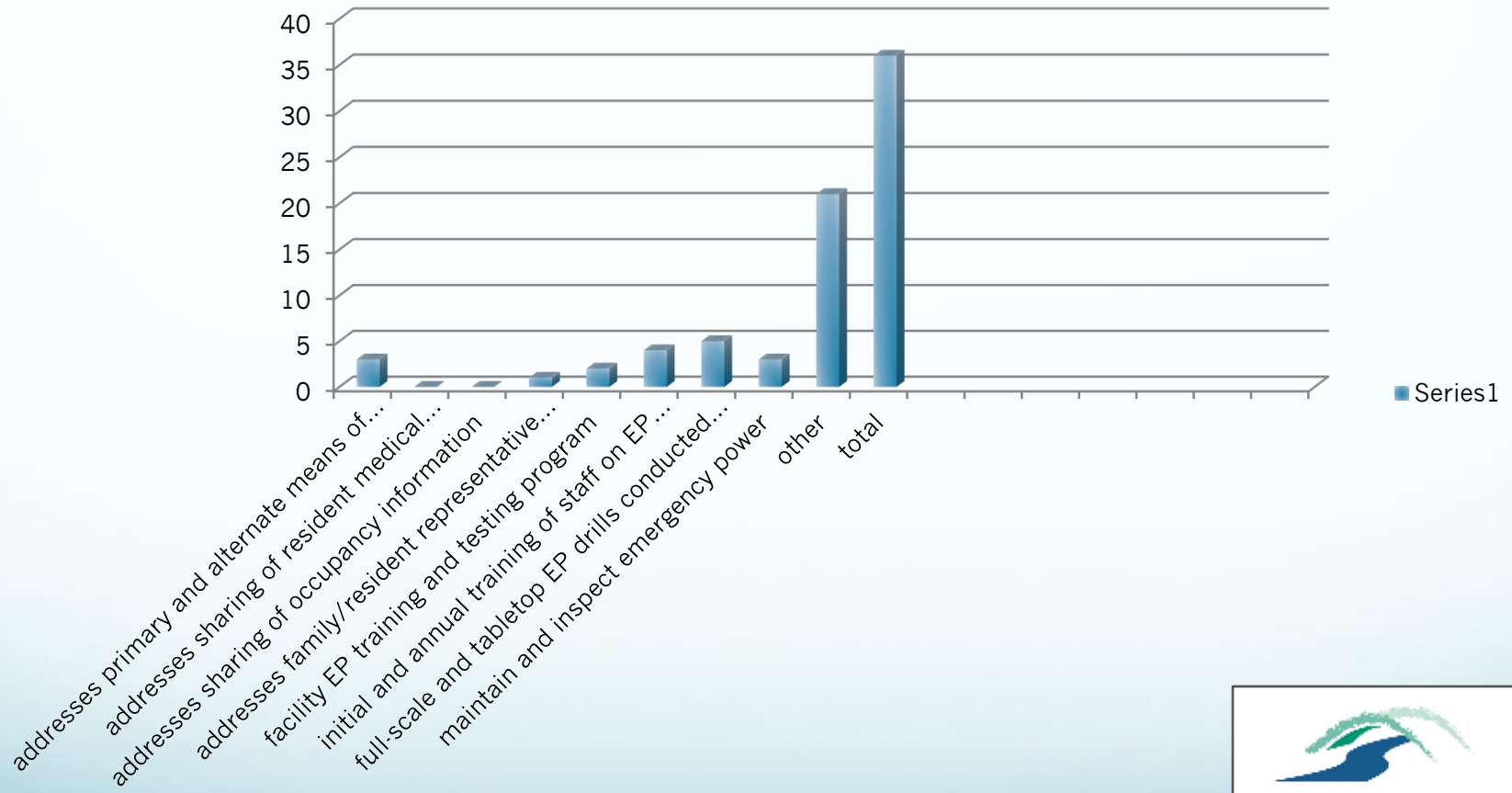
Based on feedback from surveyors and information provided at the entrance conference, do you feel either your Facility-wide Assessment or QAPI plan led to the citation of deficiencies? Please explain



Please select all regulatory topic areas (F-Tags) that you have been, or anticipate being cited under



**Please select all emergency preparedness (EP) requirements
you have been, or anticipate being cited under.**



**Please select all emergency preparedness (EP requirements
you have been , or anticipate being cited under?**

