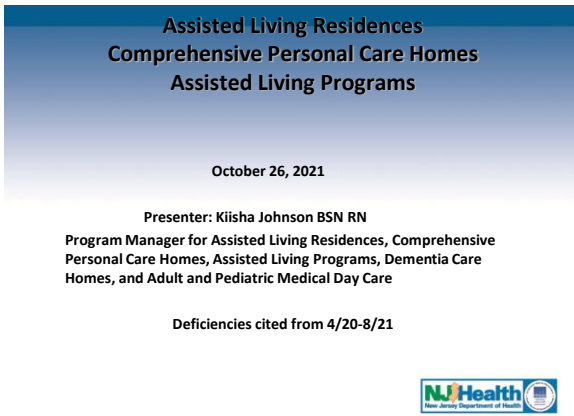


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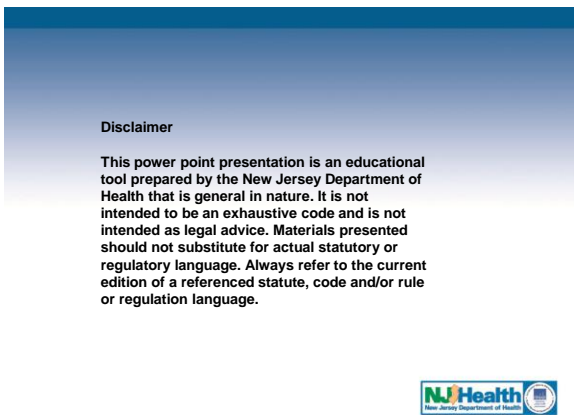


Presenter: Kiisha Johnson BSN RN
Program Manager for Assisted Living Residences, Comprehensive
Personal Care Homes, Assisted Living Programs, Dementia Care
Homes, and Adult and Pediatric Medical Day Care

Deficiencies cited from 4/20-8/21



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Objectives

- Enhance professional development
- Update the facilities on survey trends and findings
- Share with partners



4

Regulation

A310-Administration

The administrator or designee shall be responsible for but not limited to ensuring the development, implementation, and enforcement of all policies and procedures.



5

Deficient Practices

Examples of deficiencies cited for A310 Administration:

- Group activities were not cancelled
- Communal dining not cancelled
- Residents were not socially distanced
- Residents were not wearing face masks when out of their rooms
- Temperature checks were only taken q shift when full vital signs should have been assessed
- Vital signs were only checked when the residents were symptomatic
- Residents were not quarantined per facility policy



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Deficient Practices Continued

A310-Administration

- Policy did not address cancellation of group activities
- Policy not developed to ensure the implementation of resident screening during phase 0
- New admissions/readmissions not placed on transmission-based precautions
- Non-essential personnel not prohibited from entering the facility during an outbreak
- Not screening healthcare providers/surveyors upon entrance to the facility
- PPE not used properly during Covid-19 testing



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Deficient Practices Continued

A310-Administration

- Improper handwashing
- Failed to wait the required time for Covid-19 test results and failed to disinfect table used for testing in between testing



8

Regulation

A1271-Infection Prevention and Control Services

The facility shall develop and implement an infection prevention and control program



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Deficient Practices

Examples of deficiencies cited for A1271 Infection Prevention and Control Services:

- Screening not done for staff
- Private duty aide did not wear a mask or face covering
- Newly admitted residents not cohorted
- The facility failed to implement an Infection Prevention Program
- The facility failed to hire or enter a contract with an Infection Control Preventionist by 10/30/20



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Deficient Practices Continued

A1271-Infection Prevention and Control Services

- Healthcare workers failed to wear surgical masks while working in a patient care area
- Staff failed to ensure masks covered their nose
- The facility failed to have an emergency stockpile of PPE
- The facility failed to implement the facility's cleaning and infection control protocol
- The facility failed to ensure that PPE was readily available for staff use for residents that were PUI
- Residents not wearing face masks



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Deficient Practices Continued

- A1271-Infection Prevention and Control Services
- Covid-19 testing not done according to the positivity rate
- The facility failed to develop an outbreak response plan
- Handwashing technique not done according to CDC guidelines



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Regulation

A1273-Infection Prevention and Control Services

The licensed professional nurse, in coordination with the administrator, shall be responsible for the direction, provision, and quality of infection control services. The health care services director, in coordination with the administrator, shall be responsible for, but not limited to, developing and maintaining written objectives, a policy and procedure manual, and an organizational plan for the infection prevention and control service.



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Deficient Practices

Examples of deficiencies cited for A1273-Infection Prevention and Control Services:

- Wellness Director failed to coordinate with the facility Administrator to develop and implement policies and procedures to address, manage, and control the spread of Covid-19
- The facility failed to retain a qualified Infection Preventionist for the management of the Infection Prevention and Control Program



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Regulation

A1297-Infection Prevention and Control Services

Written policies and procedures shall be established and implemented regarding infection prevention and control, including, but not limited to, policies and procedures for the following: Surveillance techniques to minimize sources and transmission of infection.



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Deficient Practices

Examples of deficiencies cited for A1297-Infection Prevention and Control Services:

- Facility failed to screen visitors for Covid-19 prior to entrance to the facility
- Failed to screen residents daily for S/S of Covid-19
- Failed to screen employees for Covid-19 prior to entrance to the facility
- Failed to screen surveyor for Covid-19 prior to entrance to the facility



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Regulation

A1299-Infection Prevention and Control Services

Written policies and procedures shall be established and implemented regarding infection prevention and control, including, but not limited to, policies and procedures for the following:

Techniques to be used during each resident contact, including handwashing before and after caring for a resident.



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Deficient Practices

Examples of deficiencies cited for A1299-Infection Prevention and Control Services:

- Facility failed to ensure the proper use of PPE while caring for residents
- Facility failed to ensure the development and implementation of policies and procedures for techniques used during resident contact to prevent the spread of Covid-19
- Staff failed to perform hand hygiene after touching their masks and/or caring for residents
- Facility failed to ensure transmission-based precautions signage was posted on the residents' room door to inform staff of the type of isolation and PPE requirements



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Questions About Assisted Living Deficiencies?



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Thank you

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Long Term Care Survey & Certification Program "Most cited deficiencies 2021"

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Objectives

- Understanding of 2021 most cited deficiencies
- Share with partners



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2021-Most cited Deficiency Summary

- Most cited deficiencies cited of 2021/ Number of times cited
 - 0884 - Reporting - National Health Safety Network- 232
 - **0880 - Infection Prevention & Control- 135**
 - 0658 - Services Provided Meet Professional Standards- 63
 - 0812 - Food Procurement, Store/Prepare/Serve-Sanitary- 50
 - 0689 - Free of Accident Hazards/Supervision/Devices- 22
 - 0761 - Label/Store Drugs and Biologicals -22
 - 0755 - Pharmacy Services/Procedures/Pharmacist/Records -21
 - 0609 - Reporting of Alleged Violations -20
 - 0842 - Resident Records - Identifiable Information- 16
 - 0584 - Safe/Clean/Comfortable/Homelike Environment-15



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F884

- F884 is a CMS automatically generated deficiency-not generated by the State Agency/NJ Department of Health
- NHSN data must be submitted at *least* once every 7 days
- Register with SAMS (Security Access Management System)
- NHSN data *must* be accurate
- Correct your submitted data if you find errors
- F884 deficiency tags *will* be automatically issued for non-compliance by CMS; CMP fines maybe levied



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F880-Examples

- Failure to implement Transmission based Precautions (TBP), in accordance with CDC guidance
- Failure to perform contact tracing and identify residents exposed to Covid-19 as persons under investigation, in accordance with CDC guidance
- Improper handwashing



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F658-Examples

- Failure to follow professional standards
- Failure to administer medications in accordance with physician's orders
- Failure to administer CPR in accordance with physician's orders
- Failure to follow physician's orders



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F812-Examples

- Handling food items without wearing gloves
- Store potentially hazardous foods in a manner to ensure safe food temperatures are maintained
- Store foods in a manner to ensure items are not used beyond safe use by dates
- Holding foods in **danger zone temperatures** (between 41 degrees F and 135 degrees F). PHF/TCS foods should have their temperatures monitored periodically during meal service and on the tray line to ensure proper hot/cold holding temperatures are being maintained.



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2021-Survey Requirements

- Continued with recertification surveys with Covid-19 Focused Infection Control Surveys
- Continued with stand-alone Covid-19 Focused Infection Control surveys



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For Long Term Care Deficiency Questions
Please contact/e-mail
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Resources

- CMS Appendix PP
- Long Term Care Survey Process(LTCSP) Procedure Guide
- The Quality, Safety & Oversight Letters (formerly known as *Survey and Certification Letters*) www.cms.gov



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